JC07 Rec'd PCT/PTO 0 4 FEB 2002

P21324.A03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Apple ant

: Tadao OHNO et al.

Serial No.

: 09/890,266 (National Stage of PCT/JP00/00692)

Filed

: August 8, 2001 (International Filing Date February 9, 2000)

For

: TUMOR VACCINE

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.98, Applicants hereby direct the Examiner's attention to the following information cited in the International Search Report and/or the International Preliminary Examination Report in connection with International Application PCT/JP00/00692, and are enclosing copies of the International Search Report (in English and Japanese) and the International Preliminary Examination Report (in English):

European Patent Application EPO690125, published January 3, 1996;

International Publication No. WO/96/01611, published January 25, 1996;

International Publication No. WO/90/11085, published September 3, 1991.

Copies of the above-noted documents are enclosed together with a duly completed form PTO-1449. The Examiner is accordingly requested to consider each of these documents, and to make them of record in this application by initialing in the appropriate spaces on the Form PTO-1449. Applicant respectfully requests that the Examiner include a copy of the initialed Form PTO-1449 with the next communication from the U.S. Patent and Trademark Office.

P21324.A03

Applicants note that an Office Action on the Merits has not issued in the instant application. However, if an Office Action on the Merits has issued, and is crossing this statement in the mail, the undersigned hereby authorizes the Commissioner to charge any fee necessary for consideration of this statement, including any payment under 37 C.F.R. 1.17(p), to be charged to Applicant's Deposit Account No. 19-0089.

Should the Examiner have any questions or comments regarding this matter, the undersigned may be contacted at the below-listed telephone number.

February 1, 2002 GREENBLUM & BERNSTEIN, P.L.C. 1941 Roland Clarke Place Reston, VA 20191 (703) 716-1191

Respectfully submitted, Tadao OHNO et al.

Bruce H. Bernstein Reg. No. 29,027 Rocks.

| Form PTO-1 | <i>1</i> 40 | , | | | | | | | I C D . | | | r | | | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|--------------------|--------------------|---------|--------------|------|--------------|-------|----------------|---------------------|-------|-----------------------------------------|--------------------------------------------------|-------------------|--------------------------------------------------|-------------------------------|--|
| Patent and Trademark Office | | | | | | | | | | | | Atty. Docket No. Serial No. 09/890,266 | | | | | |
| FEB 1. 2002 Use several sheets if necessary) U.S. PATENT EXAMINER | | | | | | | | | | | | Applicant Tadao OHNO et al. | | | | | |
| reo v sous and sous a | | | | | | | | | | | | Filing Date Augut 8, 2001 | Group Unknown | | | | |
| TE TRAC | EN | | | | | | | | | U.S. PATEN | T | OCUMENTS | | | | | |
| EXAMINER INITIAL | . | DOCUMENT NUMBER | | | | | | | DATE | | NAME | | CLASS | SUBCLASS | FILIT IF APP | FILING DATE IF APPROPRIATE | |
| | + | + | # | 4 | 4 | 4 | 4 | _ | | | | | | | | | |
| | 4 | \downarrow | 4 | 4 | 4 | 4 | \downarrow | | | | | | | | 1 | | |
| | 1 | \downarrow | \bot | 1 | \downarrow | ╜ | \perp | | | | | | | | | | |
| | 1 | 1 | 4 | \perp | 1 | | \perp | | | | | | | 1 | | | |
| | 1 | 1 | \bot | \perp | \perp | | | | | | | | | | | | |
| | ┸ | ┵ | \perp | \perp | \perp | | | | | | | | | | | | |
| | \perp | _ | L | \perp | | | | | | | | | | | | · | |
| | ļ | \perp | \bot | _ | | | | | | | | | | | | | |
| | 1 | 1 | L | | | L | | | | | | | | | <u> </u> | | |
| | L | | $ lap{\perp}$ | | \perp | | | | | | | | | | | | |
| | L | \perp | L | | | L | | | | | | | | | | | |
| | | | | | \perp | | | | | | | | | | | | |
| <u> </u> | _ | | _ | | | | | | F | OREIGN PATE | ENT | DOCUMENTS | | L | | | |
| | | | DOCUMENT NUMBER | | | | | | DATE | COUNTRY | | | CLASS | SUBCLASS | TRANS YES | SLATION NO | |
| | L | 0 | 6 | 9 | 0 | 1 | 2 | 2 | 5 11/03/96 | E.P.O. | | | | | | | |
| | 9 | 6 | / | 0 | 1 | 6 | 1 | | 01/25/96 | W.I.P.O. | | | | | | } | |
| | 9 | 0 | _/ | 1 | 1 | 0 | 8 | 3 [: | 10/04/90 | W.I.P.O. | | | | | | | |
| | | L | | | | | | T | | | • | | | | | | |
| | | | | | | | Г | | | | | | | | | | |
| | | | | | | ОТ | HE | RI | OCUMENT | S (Including Au | tho | r, Title, Date, Pert | inent Pages I | | | | |
| | | | | | | | | | | | | , , , , , , , , , , , , , , , , , , , , | | | | | |
| | | | | | | | | | | | | | | | | | |
| | | | | | | - | | | | | | | | | | { | |
| | | | | | | | | | | | | | | | | | |
| XAMINER | | | | | | | | | | | D. | ATE CONSIDERE | D | | | | |
| EXAMINER: I | niti | al if | cita | ition | cons | side | red, | , wł | ether or not c | itation is in confo | _ | | | rough aitation 'C | | | |
| ia not considere | ₽a. | inc | ıude | cop | y of | this | for | rm y | vith next com | munication to app | plica | ant. | - , | nough Challon II | not in confe | ormance | |